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PATENT
Atty Docket No. EMC-99-060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph G. Murphy et al.

Examiner: To be assigned

Serial Number: 09/431,758

Art Unit: To be assigned

Filing Date: November 1, 1999

Title: MULTIPLE STORAGE ARRAY CONTROL

Assistant Commissioner for Patents
Washington, DC 20231

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Sir:

Applicant submits this statement in accordance with the duty of disclosure under 37 C.F.R. § 1.56 and 1.97-1.98. This statement is filed in accordance with 37 C.F.R. 1.97(b)(1), before examination of the application on the merits.

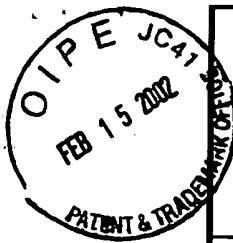
Copies of the references together with a listing on form PTO 1449 are submitted herewith. Applicants respectfully solicit the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicants believe that no fees are due with this submission. However, if this is not the case, please charge any fees occasioned by this submission to Deposit Account 05-0889.

Respectfully submitted,

Dated: Feb. 5, 2002

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TRANSMITTAL FORM

Application No.: 431,758
 Filing Date: November 1, 1999
 First Named Inventor: Joseph G. Murphy
 Group Art Unit: Not Yet Assigned
 Examiner: Not Yet Assigned
 Total Number of Pages in this Submission: 129 Docket No. EMC-99-060

2154

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Authorizing the Commissioner to charge Deposit Account No. 05-0889 (in duplicate)	<input type="checkbox"/> Response to Notice to File Missing Parts of Nonprovisional Application	<input type="checkbox"/> Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)]
<input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Decl. 	<input type="checkbox"/> Declaration/Power of Attorney	<input type="checkbox"/> Continued Prosecution Application (CPA)
<input type="checkbox"/> Extension of Time Request for 3 Months	<input type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application	<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement & Form 1449A	<input type="checkbox"/> Revocation of Power of Attorney	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Assignment	<input type="checkbox"/> Issue Fee Payment on USPTO Form PTOL-85B, "Part B—Issue Fee Transmittal"	<input checked="" type="checkbox"/> Certificate of First Class Mailing
<input type="checkbox"/> Recordation Cover Sheet	<input type="checkbox"/> Formal Drawings, labeled (showing Figs. 1-7)	<input type="checkbox"/> Certificate of Express Mail Mailing
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Letter/Transmittal to Official Draftsperson	<input checked="" type="checkbox"/> Postcard
	<input type="checkbox"/> Copy of PTO 948, "Notice of Draftsperson's Patent Review"	<input checked="" type="checkbox"/> Additional Enclosures: 3 Cited References, US Patent Numbers, 5,459,837 and 6,128,657 and WO9953415A

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Additional Enclosures:
 3 Cited References, US Patent
 Numbers, 5,459,837 and
 6,128,657 and WO9953415A

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Feb. 5, 2002

Date

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CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8]

I hereby certify that this correspondence and above-referenced enclosures are being:

- Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231.
- Transmitted by facsimile on the date shown below to the Patent and Trademark Office at (703) 308-6916.

2/6/2002

Date

Linda Valanzola

Signature

Linda Valanzola

Typed or printed name of person signing certificate